

FORMALITIES LETTER



OC00000005091056

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/501,171	02/09/2000	Peter George-Hyslop	1034/1F811-US1

Darby & Darby P.C.
805 Third Avenue
New York, NY 10022

Date Mailed: 05/03/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

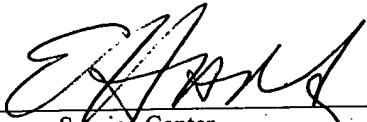
- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$78.
 - \$78 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):
 - Applicant must provide:
 - An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
 - A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-1123
- For CRF Submission Help, call (703) 308-4212 - 05/22/2000 GTEFFERA 00000070 09501171
- PatentIn Software Program Support
- - For Technical Assistance, call (703) 287-0200 01 FC:101 690.00 OP
- - To Purchase PatentIn Software, call (703) 306-2600 02 FC:102 78.00 OP
- 03 FC:105 130.00 OP

- The balance due by applicant is \$ 898.

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

CERTIFICATE OF MAILING

I hereby certify that this paper or, if this paper is a transmittal letter, every other paper or fee referred to therein, is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents & Trademarks, Washington, DC 20231, on

MAY 16, 2000 (Date of deposit)
5/16/00 Date DECK Name

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

Docket No.: 1034/1F811-US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Peter H. ST. GEORGE-HYSLOP; Paul E. FRASER

Serial No.: 09/501,171

Art Unit: TBA

Filed: February 9, 2000

Examiner: TBA

For: PROTEINS RELATED TO NEURONAL REGENERATION AND USES THEREOF

Hon. Commissioner of
Patents and Trademarks
Washington, DC 20231

Sir:

COMPLETION OF PATENT APPLICATION

The following items are submitted herewith in completion of the above-identified patent application:

1. Declaration, petition and power of attorney
2. Check in the amount of \$ 938, (\$ 898 filing; \$ 40 recording)
(See attached **Fee Computation Sheet**)
3. ☐ Formal drawings, sheets (Figs.)
☐ Informal drawings, sheets (Figs.)
4. [X] Assignment for recording to: THE GOVERNING COUNCIL OF THE UNIVERSITY OF TORONTO

5. ☐ Verified statement claiming small entity status.
PARTIAL REFUND of all fees paid within last 2 months is REQUESTED.
6. Sequence Listing Diskette
7. Paper copy of Sequence Listing
8. Statement Pursuant to Rule 1.821(f)
9. A copy of Notice to File Missing Parts of Application.

Items 6, 7 and 8 were submitted with the application as filed.

Priority is claimed for this application, corresponding application/s having been filed as follows:


Country:
Number:
Date:

The priority documents ☐ are enclosed
☐ will follow.

The Patent Office is authorized to charge any deficiency up to \$300.00 in the above fees, and to credit any excess, to our Deposit Account No. 4-0100.

Respectfully submitted,

Dated: May 16, 2000


Paul F. Fehlner, Ph.D.
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Serial No. 09/501,171

Docket No. 1034/1F811-US1



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	No. of Claims Presented	Extra Claims Previously Paid For	Number of Extra Claims	Rate
Basic Fee				\$690.00
Total Claims	13 - 20	- 0 = 0	x \$18.00	\$0.00
Independent Claims	4 - 3	- 0 = 1	x \$78.00	\$78.00
Multiple Dependent Claims		- if so, add	\$260.00	\$0.00
Surcharge for late submission of filing fee and/or declaration (\$130.00)				\$130.00
SUBTOTAL				\$898.00
[] Small Entity REDUCTION (Half of Subtotal)				\$0.00
Fee for recordation of assignment (\$40.00)				\$40.00
Charge for filing non-English language application (\$130.00)				\$0.00
TOTAL				\$938.00